

7

**PATENT APPLICATION**

10/12/99  
JC662 U.S. PTO

10/12/99  
JC675 U.S. PTO  
09/416828

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No: 27129/33638A

**CONTINUING APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

**Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231**

Sir:

This is a request under 37 CFR 1.53 for filing a

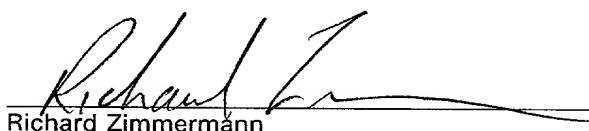
- continuation application.  
 divisional application.

**1. Particulars of Prior Application**

Application Serial No:	08/756,164
Filed on:	November 25, 1996
Title:	METHOD OF TREATING CONDITIONS ASSOCIATED WITH INTESTINAL ISCHEMIA/REPERFUSION
Art Unit:	1646
Examiner:	D. Romeo
Prior Docket No.:	27129/33638

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Continuing Application Transmittal Under 37 CFR 1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **October 12, 1999**, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EM 099 778 751 US.

  
Richard Zimmermann

**2. This request is filed by:**

1. Full Name of Inventor	Family Name <b>Ammons</b>	First Given Name <b>William</b>	Second Given Name <b>Steve</b>
Residence & Citizenship	City <b>Pinole</b>	State or Foreign Country <b>California</b>	Country of Citizenship <b>United States of America</b>
Post Office Address	Post Office Address <b>490 Dohrmann Lane</b>	City <b>Pinole</b>	State & Zip Code/Country <b>California 94564</b>
2. Full Name of Inventor	Family Name <b>Meszaros</b>	First Given Name <b>Karoly</b>	Second Given Name <b>M.</b>
Residence & Citizenship	City <b>San Ramon</b>	State or Foreign Country <b>California</b>	Country of Citizenship <b>Hungary</b>
Post Office Address	Post Office Address <b>2938 Morgan Drive</b>	City <b>San Ramon</b>	State & Zip Code/Country <b>California 94583</b>
3. Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

- This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

**3. Amendments**

- Amend the specification by inserting before the first line the sentence:  
--This is a Continuation of U.S. application Serial No. 08/756,164, filed November 25, 1996 which is a Continuation of 08/232,527, filed April 22, 1994.--
- Cancel claims in the prior application before calculating the filing fee.
- A Preliminary Amendment is enclosed.
- The filing fee is based upon entry of the foregoing amendment(s) (if any).

**4. Copy of Prior Application**

The enclosed is a copy of the prior complete application, including the specification (with claims), drawings, the oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

**5. Incorporation By Reference**

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under paragraph 4, above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**6. Priority**

- Priority of application No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC 119.
  - The certified copy(ies) was(were) filed in prior U.S. application Serial No. \_\_\_\_\_.
  - The certified copy(ies) has(have) not been filed.

**7. Assignment**

- The prior application is assigned of record to Xoma Corporation, and has been recorded at Reel No. 7626, Frame No. 99.

**8. Small Entity Status**

- Verified statement(s) claiming small entity status is(are) attached.
- Small entity status has been established in the prior application and is still proper and desired.

**9. Fee Calculation**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$380.00		\$760.00
TOTAL	10 -20	= 0	X 9 =		X 18 =	\$
INDEP.	1 - 3	= 0	X 39 =		X 78 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 130 =		+ 260 =	\$
			Filing Fee:	\$380.00	<b>OR</b>	\$

**10. Method of Payment of Fees**

- Attached is a check in the amount of: \$380.00
- Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this Transmittal is enclosed.

**11. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

Please direct all future communications to Li-Hsien Rin-Laures,M.D., at the address below.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,  
MURRAY & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6402  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:

  
Michael F. Borun  
Reg. No: 25,447

October 12, 1999

**JOINT INVENTORS**

**APPLICATION FOR  
UNITED STATES LETTERS PATENT**

**S P E C I F I C A T I O N**

---

**TO ALL WHOM IT MAY CONCERN:**

**Be it known that we, William Steve Ammons, a citizen of the  
United States of America, residing at 490 Dohrmann Lane, Pinole, in the County  
of Contra Costa and State of California, and Károly M. Mészáros,  
a citizen of Hungary, residing at 2938 Morgan Drive, San Ramon, in the County  
of Contra Costa and State of California, have invented a new and useful  
METHOD OF TREATING CONDITIONS ASSOCIATED WITH INTESTINAL  
ISCHEMIA/REPERFUSION, of which the following is a specification.**

**METHOD OF TREATING CONDITIONS  
ASSOCIATED WITH INTESTINAL ISCHEMIA/REPERFUSION**

**BACKGROUND OF THE INVENTION**

The present invention relates to therapeutic uses of  
5 bactericidal/permeability-increasing (BPI) protein products for the treatment of  
adverse physiological effects associated with intestinal ischemia/reperfusion.

Reperfusion of ischemic intestines is associated with profound  
cardiovascular and respiratory dysfunction that may lead to shock and death.  
A variety of mediators are believed to be released from the ischemic tissue that  
10 could lead to cardiorespiratory collapse, including oxygen free radicals,  
protanoids, and platelet activating factor.

During ischemia, breakdown of the intestinal mucosal  
permeability barrier may result in translocation of endotoxin and/or bacteria  
from the intestinal lumen. Endotoxin has been detected in the portal vein after  
15 intestinal ischemia/reperfusion. However, a role for translocated bacteria or  
endotoxin in intestinal ischemia/reperfusion injury has not been clearly  
defined.

Bactericidal/permeability-increasing protein (BPI) is a protein  
isolated from the granules of mammalian PMNs, which are blood cells  
20 essential in the defense against invading microorganisms. Human BPI protein  
has been isolated from polymorphonuclear neutrophils by acid extraction  
combined with either ion exchange chromatography [Elsbach, *J. Biol. Chem.*,  
254:11000 (1979)] or *E. coli* affinity chromatography [Weiss, et al., *Blood*,  
69:652 (1987)] referred to herein as natural BPI and has potent bactericidal  
25 activity against a broad spectrum of gram-negative bacteria. The molecular  
weight of human BPI is approximately 55,000 daltons (55 kD). The amino  
acid sequence of the entire human BPI protein, as well as the DNA encoding  
the protein, have been elucidated in Figure 1 of Gray et al., *J. Biol. Chem.*,  
264:9505 (1989), incorporated herein by reference.

30 The bactericidal effect of BPI has been shown to be highly  
specific to sensitive gram-negative species, while non-toxic for other

microorganisms and for eukaryotic cells. The precise mechanism by which BPI kills bacteria is as yet unknown, but it is known that BPI must first attach to the surface of susceptible gram-negative bacteria. This initial binding of BPI to the bacteria involves electrostatic interactions between the basic BPI protein and the negatively charged sites on lipopolysaccharides (LPS). LPS has been referred to as "endotoxin" because of the potent inflammatory response that it stimulates. LPS induces the release of mediators by host inflammatory cells which may ultimately result in irreversible endotoxic shock. BPI binds to Lipid A, the most toxic and most biologically active component of LPS.

In susceptible bacteria, BPI binding is thought to disrupt LPS structure, leading to activation of bacterial enzymes that degrade phospholipids and peptidoglycans, altering the permeability of the cell's outer membrane, and ultimately causing cell death by an as yet unknown mechanism. BPI is also capable of neutralizing the endotoxic properties of LPS to which it binds. Because of its gram-negative bactericidal properties and its ability to neutralize LPS, BPI can be utilized for the treatment of mammals suffering from diseases caused by gram-negative bacteria, such as bacteremia or sepsis. Bahrami et al., *Int'l Endotoxin Soc. Meeting*, Vienna, Austria (August 1992), disclose the use of a BPI protein for the treatment of hemorrhagic shock.

A proteolytic fragment corresponding to the N-terminal portion of human BPI holoprotein possesses the antibacterial efficacy of the naturally-derived 55 kD human holoprotein. In contrast to the N-terminal portion, the C-terminal region of the isolated human BPI protein displays only slightly detectable anti-bacterial activity. Ooi, et al., *J. Exp. Med.*, 174:649 (1991). A BPI N-terminal fragment, comprising approximately the first 199 amino acid residues of the human BPI holoprotein and referred to as "rBPI<sub>23</sub>", has been produced by recombinant means as a 23 kD protein. Gazzano-Santoro et al., *Infect. Immun.* 60:4754-4761 (1992).

### SUMMARY OF THE INVENTION

The present invention provides novel methods for the treatment of adverse physiological effects associated with intestinal ischemia/reperfusion comprising administering BPI protein products to a subject suffering from the 5 effects of intestinal ischemia/reperfusion. Specifically, the invention provides methods of treating the adverse physiological effects, including cardiac and hemodynamic effects, of intestinal ischemia/reperfusion resulting from a variety of causes. Such causes include mesenteric artery ischemia which is secondary to occlusions resulting from atherosclerosis, embolisms or arterial 10 spasms; ischemia resulting from vessel occlusions in other segments of the bowel; ischemic colitis and intestinal torsion such as occurs in infants and particularly in animals. In particular, the invention provides methods for treating the adverse cardiac and other effects of intestinal ischemia and reperfusion associated with myocardial infarction.

15 The invention thus provides methods for treatment of sepsis-like conditions associated with intestinal ischemia/reperfusion comprising administering to a subject an amount of a BPI protein product effective to alleviate adverse physiological effects resulting from the presence of bacteria, bacterial particulates and endotoxin present in the body and in circulation in 20 the blood.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 depicts the hemodynamic effects of intestinal reperfusion;

Fig. 2 depicts the effects of a BPI protein product on

25 reperfusion-induced hemodynamic dysfunction;

Figs. 3a and 3b depict the effects of a BPI protein product on hypotension resulting from intestinal ischemia/reperfusion;

Figs. 4a and 4b depict the effects of a BPI protein product on bradycardia resulting from intestinal ischemia/reperfusion;

30 Fig. 5 depicts the effects of a BPI protein product on respiratory depression resulting from intestinal ischemia/reperfusion;

Fig. 6 depicts the effects of a BPI protein product on arrhythmias resulting from intestinal ischemia/reperfusion;

Fig. 7 depicts the effects of a BPI protein product on the survival time for rats subjected to intestinal ischemia/reperfusion; and

Figs. 8a and 8b depict the quantity of bacteria isolated from tissues of rats subjected to intestinal ischemia/reperfusion and the number of rats in which the bacteria were detected.

#### DETAILED DESCRIPTION OF THE INVENTION

The invention provides methods of treatment of the adverse effects of intestinal ischemia/reperfusion by administering BPI protein products to subjects suffering from the effects of intestinal ischemia and reperfusion. According to one aspect of the invention, the adverse cardiac and hemodynamic effects including cardiac depression, arrhythmia and hypotension associated with intestinal ischemia/reperfusion are alleviated by administration of effective amounts of BPI protein products. In particular, because these studies demonstrate the adverse cardiac and hemodynamic effects of intestinal ischemia/reperfusion, the administration of BPI protein products as an adjunctive therapy for the treatment of myocardial infarction would be particularly useful. The BPI protein products are preferably administered systemically such as intravenously, or by intramuscular or subcutaneous injection.

As used herein, "BPI protein product" includes naturally and recombinantly produced bactericidal/permeability-increasing protein; natural, synthetic, and recombinant biologically active polypeptide fragments of bactericidal/permeability-increasing protein; and biologically active polypeptide analogs or variants including hybrid fusion proteins, of either bactericidal/permeability-increasing protein or biologically active fragments thereof. The BPI protein products including biologically active fragments of BPI holoprotein which are to be administered according to the methods of this invention may be generated and/or isolated by any means known in the art.

U.S. Patent No. 5,198,541, the disclosure of which is hereby incorporated by reference, discloses recombinant genes encoding and methods for expression of BPI proteins. Co-owned, copending U.S. Patent Application Ser. No. 07/885,501 and a continuation-in-part thereof, U.S. Patent Application Ser.

- 5 No. 08/072,063 filed May 19, 1993, which are hereby incorporated by reference, disclose novel methods for the purification of recombinant BPI protein products expressed in and secreted from genetically transformed mammalian host cells in culture, and discloses how one may produce large quantities of recombinant BPI products suitable for incorporation into stable, 10 homogeneous pharmaceutical preparations.

Biologically active fragments of BPI include biologically active molecules that contain the same amino acid sequence as a BPI holoprotein, except that the molecule lacks amino-terminal amino acids, internal amino acids, and/or carboxy-terminal amino acids of the holoprotein. Amino-terminal fragments of BPI comprising up to about the first 200 amino acid residues of BPI are contemplated as being particularly useful according to the invention. By way of nonlimiting examples, such fragments include those described herein and a natural 25 Kd fragment and a recombinant 23 Kd, 199 amino acid residue amino-terminal fragment of the human BPI holoprotein 15 referred to as rBPI<sub>23</sub>. See, Gazzano-Santoro et al., *Infect. Immun.* 60:4754-4761 (1992). In that publication, an expression vector was used as a source of DNA encoding a recombinant expression product (rBPI<sub>23</sub>) having the 31-residue signal sequence and the first 199 amino acids of the N-terminus of the mature human BPI, as set out in SEQ ID NOS: 1 and 2 taken from Gray et 20 al., *supra*, except that valine at position 151 is specified by GTG rather than GTC and residue 185 is glutamic acid (specified by GAG) rather than lysine (specified by AAG). Recombinant holoprotein referred to herein as rBPI has also been produced having the sequence set out in SEQ ID NOS: 1 and 2 taken from Gray et al., *supra*, with the exceptions noted for rBPI<sub>23</sub>.

30 Biologically active analogs and variants of BPI include, but are not limited to, recombinant hybrid fusion proteins comprising BPI holoprotein

or biologically active fragment thereof, and at least a portion of at least one other polypeptide. Such proteins are described by Theofan et al. in co-owned, copending U.S. Patent Application Serial No. 07/885,911, and a continuation-in-part application thereof U.S. Patent Application Serial No. 08/064693 filed

- 5 May 19, 1993, which are incorporated herein by reference in their entirety and include hybrid fusion proteins comprising, at the amino terminal end, a BPI protein or a biologically active fragment thereof and, at the carboxy terminal end, at least one constant domain of an immunoglobulin heavy chain or allelic variant thereof.

10 Biologically active analogs and variants of BPI also include, but are not limited to, BPI protein products wherein one or more amino acid residues have been replaced by a different amino acid. For example, co-owned, copending U.S. Patent Application Ser. No. 08/013,801 (Theofan et al., "Stable Bactericidal/Permeability-Increasing Protein Products and

- 15 Pharmaceutical Compositions Containing the Same," filed February 2, 1993) which is incorporated herein by reference, discloses polypeptide analogs of BPI and BPI fragments wherein a cysteine residue at position 132 or at position 135 is replaced by a different amino acid. A preferred BPI protein product described by this application comprises the first 199 amino acids of 20 BPI holoprotein but wherein the cysteine at residue number 132 is substituted with alanine and is designated rBPI<sub>21</sub>Δcys.

The administration of BPI protein products is preferably accomplished with a pharmaceutical composition comprising a BPI protein product and a pharmaceutically acceptable diluent, adjuvant, or carrier. The

- 25 BPI protein product composition may be administered without or in conjunction with known surfactants, other chemotherapeutic agents or additional known antibiotics. A preferred pharmaceutical composition containing BPI protein products comprises BPI at a concentration of about 1 to 2 mg/ml in citrate buffered saline (0.02 M citrate, 0.15 M NaCl, pH 5.0) 30 comprising 0.1 % by weight of poloxamer 188 (Pluronic F-68, BASF Wyandotte, Parsippany, NJ) and 0.002 % by weight of polysorbate 80 (Tween

- 80, ICI Americas Inc., Wilmington, DE). Such preferred combinations are described in co-owned, copending, U.S. Patent Application Ser. No. 08/190,869 filed February 2, 1994 which is a continuation in part of U.S Patent Application Ser. No. 08/012,360 (McGregor et al., "Improved 5 Pharmaceutical Composition" filed February 2, 1993), the disclosures of which are incorporated herein by reference.

Other BPI protein products useful according to the methods of the invention are BPI peptides such as those described in co-owned and copending U.S. Patent Application Ser. No. 08/209,762 filed March 11, 1994 10 which is a continuation-in-part of U.S. Patent Application Ser. No. 08/183,222 filed January 14, 1994 which is a continuation-in-part of U.S. Patent Application Ser. No. 08/093,202 filed July 15, 1993 which is a continuation in part of U.S. Patent Application Ser. No. 08/030,644 filed March 12, 1993 the disclosures of which are hereby incorporated by reference.

15 Practice of the methods of the present invention is illustrated in the following examples wherein: Example 1 discloses the effect of administration of a BPI protein product on blood pressure, heart rate and respiratory rate of rats subjected to intestinal ischemia/reperfusion. Example 2 discloses the effect of administration of a BPI protein product on the 20 translocation of bacteria in rats subjected to intestinal ischemia/reperfusion.

#### EXAMPLE 1

A rat surgical model was used to evaluate the effects of BPI 25 protein products on the physiological effects associated with intestinal ischemia/reperfusion. Specifically Sprague Dawley rats were anesthetized with a mixture of 80 mg/kg of ketamine and 4 mg/kg of xylazine administered by intraperitoneal injection. After a surgical plane of anesthesia was obtained, a tracheotomy was performed and a tracheal cannula was inserted. The animals 30 breathed on their own. A catheter, made of polyethylene tubing, was placed in a femoral artery. The catheter was connected to a pressure transducer in

order to measure blood pressure. Another catheter was placed in a femoral vein and connected to an infusion pump.

The abdominal contents were then exposed via a midline abdominal incision. The superior mesenteric artery (SMA) was visualized at 5 its junction with the abdominal aorta and a silk ligature was threaded around the SMA after it had been gently loosened from the surrounding connective tissue. The loose ends of the ligature were placed outside the animal and the abdominal incision was then closed with surgical staples.

After surgery, the cardiac indices of blood pressure and heart 10 rate were electronically recorded by measurement at the femoral artery and respiration rate was determined by visual observation for a period of about 30 to 45 minutes so that all recorded variables were stable. The ligature around the SMA was then tightened by until the SMA was occluded. The SMA remained occluded for 90 minutes at which time the ligature was loosened to 15 allow reperfusion. Sixty minutes after the SMA was occluded 7 rats received an intravenous bolus injection of rBPI<sub>21</sub>Δcys in a vehicle comprising citrate buffered saline (0.02 M citrate, 0.15 M NaCl, pH 5.0) comprising 0.1 % by weight of poloxamer 188 and 0.002 % by weight of polysorbate 80 followed by a constant infusion of 2 mg/kg/hr. Seven other control rats received equal 20 volumes of vehicle. The infusions continued until death.

Typical blood pressure and heart rate records for individual untreated and BPI treated rats are presented in Figs. 1 and 2 respectively. Opening the SMA occlusion after 90 minutes resulted in rapid declines in blood pressure and heart rate of all rats treated with vehicle. Within a few 25 minutes, the heart rate of all but one control rat began to oscillate, partially in phase with respiration, but also in a slower, more irregular pattern. In 5 of the 7 control rats there appeared to be missed beats which are presumably the result of arrhythmias. In contrast, irregularities of heart rate and arrhythmias were seldom observed in rBPI<sub>21</sub>Δcys treated rats. Administration of 30 rBPI<sub>21</sub>Δcys did have an effect in reducing the hypotension resulting from intestinal ischemia/reperfusion as shown by the results in Figs. 3a and 3b

where Fig 3a shows the results for both the ischemic and reperfusion phases of the experiment and Fig. 3b shows only the period of reperfusion. Data are shown for the first 30 minutes after opening the occlusion because all vehicle-treated rats were dead within 45 minutes and all BPI treated rats were dead  
5 within 60 minutes.

The results illustrated in Figs. 4a and 4b (where Fig 4a shows the results for both the ischemic and reperfusion phases of the experiment and Fig. 4b shows only the period of reperfusion) show that the administration of rBPI<sub>2,1</sub>Δcys had the effect of preventing bradycardia resulting from intestinal  
10 ischemia/reperfusion.

The results illustrated in Fig. 5 measuring respiratory rate show that the administration of the BPI protein product reduces respiratory depression resulting from the intestinal ischemia/reperfusion injury. The figure only presents data following reperfusion because the respiration rates for  
15 vehicle and BPI treated rats were not different prior to opening the occlusion.

The effect of intestinal ischemia and reperfusion on rats treated and untreated with the BPI protein product are set out in Table I below which illustrates the data of Figs. 3a, 3b, 4a, 4b and 5 where t=0 is immediately before reperfusion and t=30 is after 30 minutes of reperfusion.

20 The results illustrated in Fig. 6 relating to arrhythmia duration show that administration of the BPI protein product reduces the duration of heart rate irregularities resulting from intestinal reperfusion. For this analysis the period of time during which obvious heart rate oscillations or arrhythmias were observed was determined for each rat and then averaged. The results  
25 were statistically significant with p<0.001.

The results illustrated in Fig. 7 show that treatment with the BPI protein product increases survival time in rats suffering from intestinal ischemia/reperfusion injury (p<0.05). According to this aspect of the experiment, the time from opening the occlusion until death was recorded for  
30 each rat. In all cases death was immediately preceded by a rapid decline in respiration rate and reduction in tidal volume.

TABLE I

	Blood Pressure (mmHg)			Heart Rate (per min.)	Respiratory Rate (per min.)
	t = 0	t = 30	t = 0		
Vehicle	96 ± 5	37 ± 3	288 ± 2	241 ± 16	51 ± 3
rBPI <sub>2</sub> ,Δcys	96 ± 5	43 ± 5	284 ± 7	325 ± 9**	56 ± 4

t = 0 is immediately before reperfusion, t = 30 is 30 minutes of reperfusion.

\* p < 0.05 vs. Vehicle, \*\* p < 0.01.

**EXAMPLE 2**

In an additional experiment with the rat surgical model of experiment 1 two groups of five rats each were surgically prepared and administered with either rBPI<sub>21</sub>Δcys, or vehicle in the same manner and dosages as in example 1 except that a blood sample was obtained just prior to death. In addition a third group of five rats was subjected to a sham operation wherein all the surgical procedures were reproduced with the exception that the SMA was never occluded. After death, samples of tissue were obtained from the liver, spleen, and mesenteric lymph nodes. The blood was plated on trypticase soy agar and incubated overnight at 37°C. The tissue samples were then weighed and homogenized and were similarly plated and incubated overnight at 37°C. The next day the number of colonies on the plates were counted visually. The number of bacteria per gram of tissue of each organ is shown in Fig. 8a. The number of rats in which bacteria were detected is shown in Fig. 8b. These results including the sham experiment show that intestinal ischemia/reperfusion results in translocation of bacteria, most likely from the gut. Further, the results show that the administration of the BPI protein product reduced the translocation of bacteria resulting from intestinal ischemia/reperfusion. Analysis of the blood samples indicated no presence of bacteremia in any of the subject animals.

Numerous modifications and variations in the practice of the invention are expected to occur to those skilled in the art upon consideration of the foregoing description of the presently preferred embodiments thereof. Consequently, the only limitations which should be placed upon the scope of the present invention are those which appear in the appended claims.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: Ammons, William Steve et al.
- (ii) TITLE OF INVENTION: Method of Treating Conditions Associated with Intestinal Ischemia/Reperfusion
- (iii) NUMBER OF SEQUENCES: 2
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Marshall, O'Toole, Gerstein, Murray & Borun
  - (B) STREET: 6300 Sears Tower, 233 South Wacker Drive
  - (C) CITY: Chicago
  - (D) STATE: Illinois
  - (E) COUNTRY: United States of America
  - (F) ZIP: 60606-6402
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (viii) ATTORNEY INFORMATION:
  - (A) NAME: Sharp, Jeffrey S.
  - (B) REGISTRATION NUMBER: 31,879
  - (C) REFERENCE/DOCKET NUMBER: 27129/32043
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 312/474-6300
  - (B) TELEFAX: 312/474-0448
  - (C) TELEX: 25-3856

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 1813 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (ix) FEATURE:
  - (A) NAME/KEY: CDS
  - (B) LOCATION: 31..1491
- (ix) FEATURE:
  - (A) NAME/KEY: mat\_peptide
  - (B) LOCATION: 124..1491

**(ix) FEATURE:**

(A) NAME/KEY: misc feature

(D) OTHER INFORMATION: "rBPI"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

CAGGCCTTGA GGTTTTGGCA GCTCTGGAGG ATG AGA GAG AAC ATG GCC AGG GGC Met Arg Glu Asn Met Ala Arg Gly -31 -30 -25	54
CCT TGC AAC GCG CCG AGA TGG GTG TCC CTG ATG GTG CTC GTC GCC ATA Pro Cys Asn Ala Pro Arg Trp Val Ser Leu Met Val Leu Val Ala Ile -20 -15 -10	102
GGC ACC GCC GTG ACA GCG GCC GTC AAC CCT GGC GTC GTG GTC AGG ATC Gly Thr Ala Val Thr Ala Ala Val Asn Pro Gly Val Val Val Arg Ile -5 1 5	150
TCC CAG AAG GGC CTG GAC TAC GCC AGC CAG CAG GGG ACG GCC GCT CTG Ser Gln Lys Gly Leu Asp Tyr Ala Ser Gln Gln Gly Thr Ala Ala Leu 10 15 20 25	198
CAG AAG GAG CTG AAG AGG ATC AAG ATT CCT GAC TAC TCA GAC AGC TTT Gln Lys Glu Leu Lys Arg Ile Lys Ile Pro Asp Tyr Ser Asp Ser Phe 30 35 40	246
AAG ATC AAG CAT CTT GGG AAG GGG CAT TAT AGC TTC TAC AGC ATG GAC Lys Ile Lys His Leu Gly Lys Gly His Tyr Ser Phe Tyr Ser Met Asp 45 50 55	294
ATC CGT GAA TTC CAG CTT CCC AGT TCC CAG ATA AGC ATG GTG CCC AAT Ile Arg Glu Phe Gln Leu Pro Ser Ser Gln Ile Ser Met Val Pro Asn 60 65 70	342
GTC GGC CTT AAG TTC TCC ATC AGC AAC GCC AAT ATC AAG ATC AGC GGG Val Gly Leu Lys Phe Ser Ile Ser Asn Ala Asn Ile Lys Ile Ser Gly 75 80 85	390
AAA TGG AAG GCA CAA AAG AGA TTC TTA AAA ATG AGC GGC AAT TTT GAC Lys Trp Lys Ala Gln Lys Arg Phe Leu Lys Met Ser Gly Asn Phe Asp 90 95 100 105	438
CTG AGC ATA GAA GGC ATG TCC ATT TCG GCT GAT CTG AAG CTG GGC AGT Leu Ser Ile Glu Gly Met Ser Ile Ser Ala Asp Leu Lys Leu Gly Ser 110 115 120	486
AAC CCC ACG TCA GGC AAG CCC ACC ATC ACC TGC TCC AGC TGC AGC AGC Asn Pro Thr Ser Gly Lys Pro Thr Ile Thr Cys Ser Ser Cys Ser Ser 125 130 135	534
CAC ATC AAC AGT GTC CAC GTG CAC ATC TCA AAG AGC AAA GTC GGG TGG His Ile Asn Ser Val His Val His Ile Ser Lys Ser Lys Val Gly Trp 140 145 150	582
CTG ATC CAA CTC TTC CAC AAA AAA ATT GAG TCT GCG CTT CGA AAC AAG Leu Ile Gln Leu Phe His Lys Lys Ile Glu Ser Ala Leu Arg Asn Lys 155 160 165	630
ATG AAC AGC CAG GTC TGC GAG AAA GTG ACC AAT TCT GTA TCC TCC AAG Met Asn Ser Gln Val Cys Glu Lys Val Thr Asn Ser Val Ser Ser Lys 170 175 180 185	678
CTG CAA CCT TAT TTC CAG ACT CTG CCA GTA ATG ACC AAA ATA GAT TCT	726

Leu Gln Pro Tyr Phe Gln Thr Leu Pro Val Met Thr Lys Ile Asp Ser 190 195 200	
GTG GCT GGA ATC AAC TAT GGT CTG GTG GCA CCT CCA GCA ACC ACG GCT Val Ala Gly Ile Asn Tyr Gly Leu Val Ala Pro Pro Ala Thr Thr Ala 205 210 215	774
GAG ACC CTG GAT GTA CAG ATG AAG GGG GAG TTT TAC AGT GAG AAC CAC Glu Thr Leu Asp Val Gln Met Lys Gly Glu Phe Tyr Ser Glu Asn His 220 225 230	822
CAC AAT CCA CCT CCC TTT GCT CCA CCA GTG ATG GAG TTT CCC GCT GCC His Asn Pro Pro Pro Phe Ala Pro Pro Val Met Glu Phe Pro Ala Ala 235 240 245	870
CAT GAC CGC ATG GTA TAC CTG GGC CTC TCA GAC TAC TTC TTC AAC ACA His Asp Arg Met Val Tyr Leu Gly Leu Ser Asp Tyr Phe Phe Asn Thr 250 255 260 265	918
GCC GGG CTT GTA TAC CAA GAG GCT GGG GTC TTG AAG ATG ACC CTT AGA Ala Gly Leu Val Tyr Gln Glu Ala Gly Val Leu Lys Met Thr Leu Arg 270 275 280	966
GAT GAC ATG ATT CCA AAG GAG TCC AAA TTT CGA CTG ACA ACC AAG TTC Asp Asp Met Ile Pro Lys Glu Ser Lys Phe Arg Leu Thr Thr Lys Phe 285 290 295	1014
TTT GGA ACC TTC CTA CCT GAG GTG GCC AAG AAG TTT CCC AAC ATG AAG Phe Gly Thr Phe Leu Pro Glu Val Ala Lys Lys Phe Pro Asn Met Lys 300 305 310	1062
ATA CAG ATC CAT GTC TCA GCC TCC ACC CCG CCA CAC CTG TCT GTG CAG Ile Gln Ile His Val Ser Ala Ser Thr Pro Pro His Leu Ser Val Gln 315 320 325	1110
CCC ACC GGC CTT ACC TTC TAC CCT GCC GTG GAT GTC CAG GCC TTT GCC Pro Thr Gly Leu Thr Phe Tyr Pro Ala Val Asp Val Gln Ala Phe Ala 330 335 340 345	1158
GTC CTC CCC AAC TCC TCC CTG GCT TCC CTC TTC CTG ATT GGC ATG CAC Val Leu Pro Asn Ser Ser Leu Ala Ser Leu Phe Leu Ile Gly Met His 350 355 360	1206
ACA ACT GGT TCC ATG GAG GTC AGC GCC GAG TCC AAC AGG CTT GTT GGA Thr Thr Gly Ser Met Glu Val Ser Ala Glu Ser Asn Arg Leu Val Gly 365 370 375	1254
GAG CTC AAG CTG GAT AGG CTG CTC CTG GAA CTG AAG CAC TCA AAT ATT Glu Leu Lys Leu Asp Arg Leu Leu Glu Leu Lys His Ser Asn Ile 380 385 390	1302
GGC CCC TTC CCG GTT GAA TTG CTG CAG GAT ATC ATG AAC TAC ATT GTA Gly Pro Phe Pro Val Glu Leu Leu Gln Asp Ile Met Asn Tyr Ile Val 395 400 405	1350
CCC ATT CTT GTG CTG CCC AGG GTT AAC GAG AAA CTA CAG AAA GGC TTC Pro Ile Leu Val Leu Pro Arg Val Asn Glu Lys Leu Gln Lys Gly Phe 410 415 420 425	1398
CCT CTC CCG ACG CCG GCC AGA GTC CAG CTC TAC AAC GTA GTG CTT CAG Pro Leu Pro Thr Pro Ala Arg Val Gln Leu Tyr Asn Val Val Leu Gln 430 435 440	1446
CCT CAC CAG AAC TTC CTG CTG TTC GGT GCA GAC GTT GTC TAT AAA Pro His Gln Asn Phe Leu Leu Phe Gly Ala Asp Val Val Tyr Lys	1491

**445**                    **450**                    **455**

TGAAGGCACC	AGGGGTGCGG	GGGGCTGTCA	GCCGCACCTG	TTCTTGATGG	GCTGTGGG	1551
ACCGGCTGCC	TTTCCCCAGG	GAATCCTCTC	CAGATCTTAA	CCAAGAGCCC	CTTGCAA	1611
TCTTCGACTC	AGATTCAAGAA	ATGATCTAAA	CACGAGGAAA	CATTATTCA	TGGAAA	1671
CATGGTGTGT	ATTTTAGGGA	TTATGAGCTT	CTTCAAGGG	CTAAGGCTGC	AGAGATATTT	1731
CCTCCAGGAA	TCGTGTTCA	ATTGTAACCA	AGAAATTCC	ATTGTGCTT	CATGAAAAAA	1791
AACTTCTGGT	TTTTTCATG	TG				1813

(2) INFORMATION FOR SEO ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:

  - (A) LENGTH: 487 amino acids
  - (B) TYPE: amino acid
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: protein

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Arg Glu Asn Met Ala Arg Gly Pro Cys Asn Ala Pro Arg Trp Val  
-31 -30 -25 -20

Ser Leu Met Val Leu Val Ala Ile Gly Thr Ala Val Thr Ala Ala Val  
-15 -10 -5 1

Asn Pro Gly Val Val Val Arg Ile Ser Gln Lys Gly Leu Asp Tyr Ala  
5 10 15

Ser Gln Gln Gly Thr Ala Ala Leu Gln Lys Glu Leu Lys Arg Ile Lys  
20 25 30

Ile Pro Asp Tyr Ser Asp Ser Phe Lys Ile Lys His Leu Gly Lys Gly  
35 40 45

His Tyr Ser Phe Tyr Ser Met Asp Ile Arg Glu Phe Gln Leu Pro Ser  
50 55 60 65

Ser Gln Ile Ser Met Val Pro Asn Val Gly Leu Lys Phe Ser Ile Ser  
70 75 80

Asn Ala Asn Ile Lys Ile Ser Gly Lys Trp Lys Ala Gln Lys Arg Phe  
85 90 95

Leu Lys Met Ser Gly Asn Phe Asp Leu Ser Ile Glu Gly Met Ser Ile  
100 105 110

Ser Ala Asp Leu Lys Leu Gly Ser Asn Pro Thr Ser Gly Lys Pro Thr  
115 120 125

Ile Thr Cys Ser Ser Cys Ser Ser His Ile Asn Ser Val His Val His  
130 135 140 145

Ile Ser Lys Ser Lys Val Gly Trp Leu Ile Gln Leu Phe His Lys Lys  
150 155 160

Ile Glu Ser Ala Leu Arg Asn Lys Met Asn Ser Gln Val Cys Glu Lys  
165 170 175

Val Thr Asn Ser Val Ser Ser Lys Leu Gln Pro Tyr Phe Gln Thr Leu  
 180 185 190

Pro Val Met Thr Lys Ile Asp Ser Val Ala Gly Ile Asn Tyr Gly Leu  
 195 200 205

Val Ala Pro Pro Ala Thr Thr Ala Glu Thr Leu Asp Val Gln Met Lys  
 210 215 220 225

Gly Glu Phe Tyr Ser Glu Asn His His Asn Pro Pro Pro Phe Ala Pro  
 230 235 240

Pro Val Met Glu Phe Pro Ala Ala His Asp Arg Met Val Tyr Leu Gly  
 245 250 255

Leu Ser Asp Tyr Phe Phe Asn Thr Ala Gly Leu Val Tyr Gln Glu Ala  
 260 265 270

Gly Val Leu Lys Met Thr Leu Arg Asp Asp Met Ile Pro Lys Glu Ser  
 275 280 285

Lys Phe Arg Leu Thr Thr Lys Phe Phe Gly Thr Phe Leu Pro Glu Val  
 290 295 300 305

Ala Lys Lys Phe Pro Asn Met Lys Ile Gln Ile His Val Ser Ala Ser  
 310 315 320

Thr Pro Pro His Leu Ser Val Gln Pro Thr Gly Leu Thr Phe Tyr Pro  
 325 330 335

Ala Val Asp Val Gln Ala Phe Ala Val Leu Pro Asn Ser Ser Leu Ala  
 340 345 350

Ser Leu Phe Leu Ile Gly Met His Thr Thr Gly Ser Met Glu Val Ser  
 355 360 365

Ala Glu Ser Asn Arg Leu Val Gly Glu Leu Lys Leu Asp Arg Leu Leu  
 370 375 380 385

Leu Glu Leu Lys His Ser Asn Ile Gly Pro Phe Pro Val Glu Leu Leu  
 390 395 400

Gln Asp Ile Met Asn Tyr Ile Val Pro Ile Leu Val Leu Pro Arg Val  
 405 410 415

Asn Glu Lys Leu Gln Lys Gly Phe Pro Leu Pro Thr Pro Ala Arg Val  
 420 425 430

Gln Leu Tyr Asn Val Val Leu Gln Pro His Gln Asn Phe Leu Leu Phe  
 435 440 445

Gly Ala Asp Val Val Tyr Lys  
 450 455

**WHAT IS CLAIMED IS:**

1. A method of treating adverse physiological effects associated with intestinal ischemia/reperfusion comprising administering to a subject suffering from the effects of intestinal ischemia/reperfusion an effective amount of a BPI protein product.
2. The method of claim 1 wherein said intestinal ischemia/reperfusion is associated with occlusion of an intestinal artery.
- 10 3. The method of claim 2 wherein said intestinal ischemia/reperfusion is associated with occlusion of the mesenteric artery.
4. The method of claim 1 wherein said intestinal ischemia/reperfusion is associated with myocardial infarction.
- 15 5. The method of claim 1 wherein said intestinal ischemia/reperfusion is associated with intestinal torsion.
6. The method of claim 1 wherein said adverse physiological effects are cardiac effects.
- 20 7. The method of claim 1 wherein said adverse physiological effects are hemodynamic effects.
- 25 8. The method of claim 1 wherein the BPI protein product is an amino-terminal fragment of BPI.
9. The method of claim 1 wherein the BPI protein product is rBPI<sub>21</sub>Δcys.

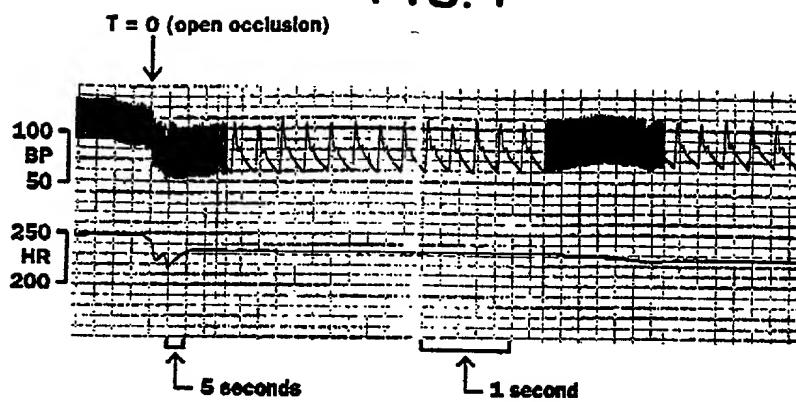
10. The method of claim 1 wherein the BPI protein product is administered in conjunction with a pharmaceutically-acceptable diluent, adjuvant or carrier.

**METHOD OF TREATING CONDITIONS  
ASSOCIATED WITH INTESTINAL ISCHEMIA/REPERFUSION**

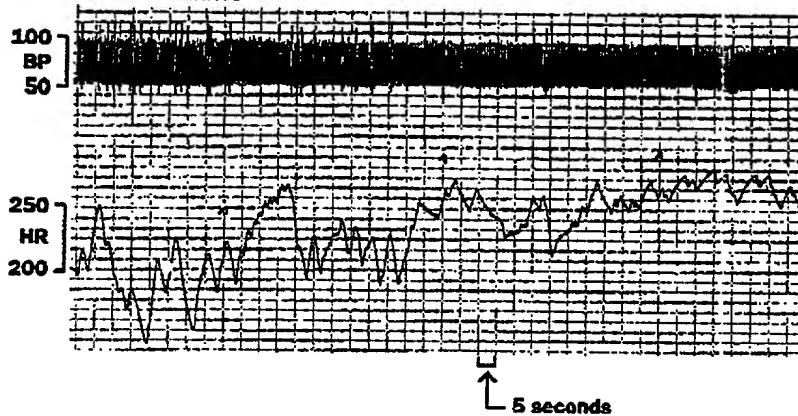
**ABSTRACT OF THE DISCLOSURE**

5       The present invention provides methods of treating adverse physiological effects associated with intestinal ischemia/reperfusion by administering to a subject suffering from the effects of intestinal ischemia/reperfusion an effective amount of a BPI protein product.

# FIG. 1

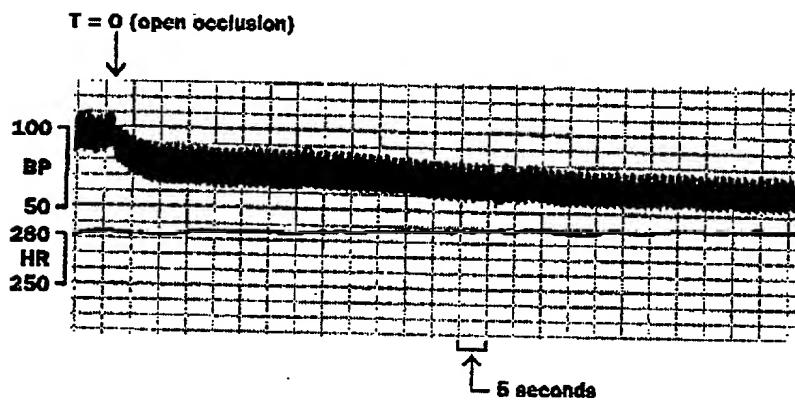


T = 3 minutes

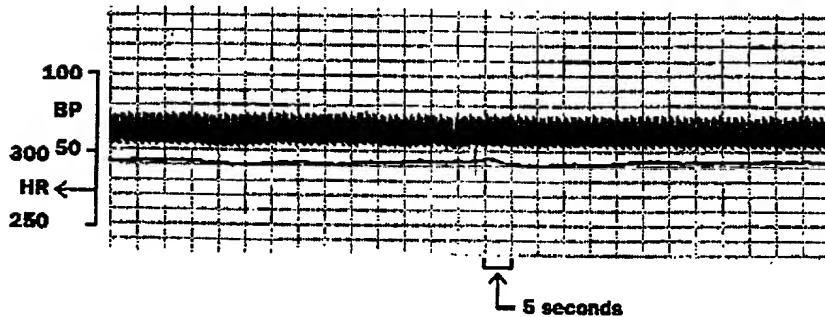


BP = blood pressure, HR = heart rate

# FIG. 2



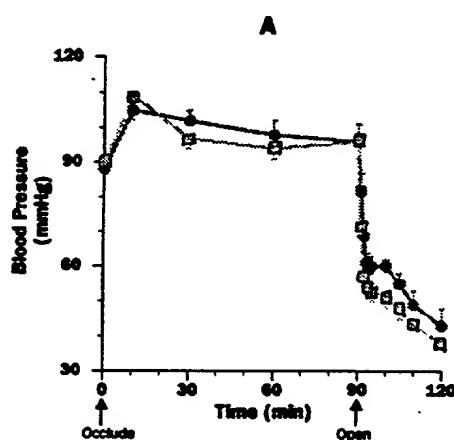
T = 3 minutes



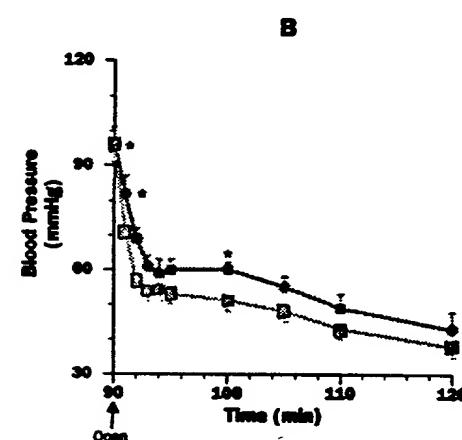
BP = blood pressure, HR = heart rate

**FIGURE 3**

rBPI<sub>21</sub> Reduces Hypotension Resulting from Intestinal Ischemia/Reperfusion Injury



A



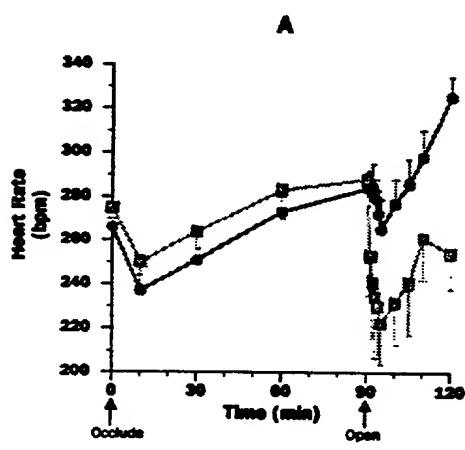
B

**FIG. 3A**

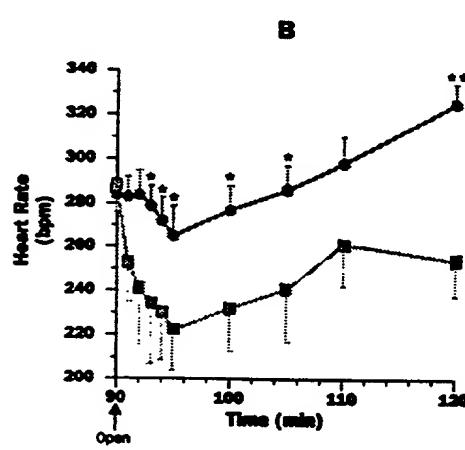
**FIG. 3B**

**FIGURE 4**

rBPI<sub>21</sub> Prevents Bradycardia Resulting from Intestinal Ischemia/Reperfusion Injury



A



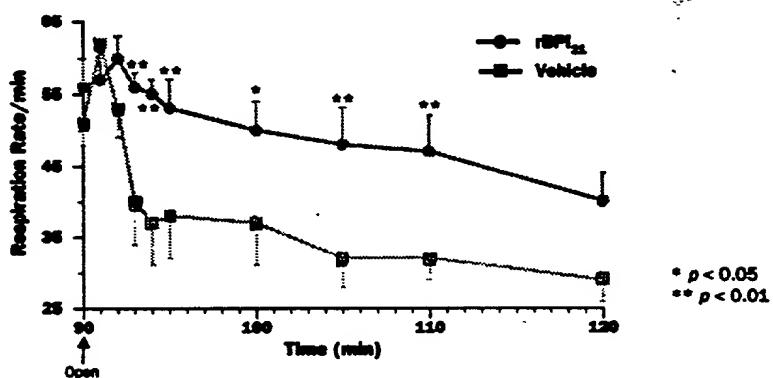
B

**FIG. 4A**

**FIG. 4B**

**FIGURE 5**

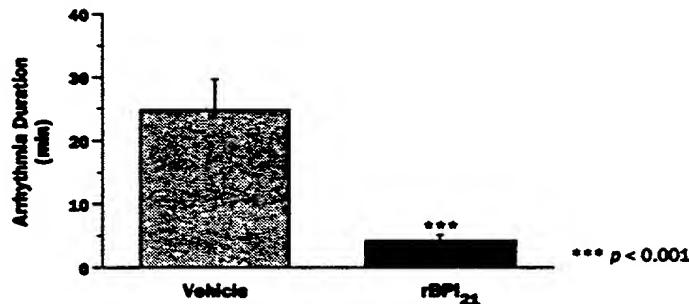
rBPI<sub>21</sub> Reduces Respiratory Depression Resulting from Intestinal Reperfusion



**FIG. 5**

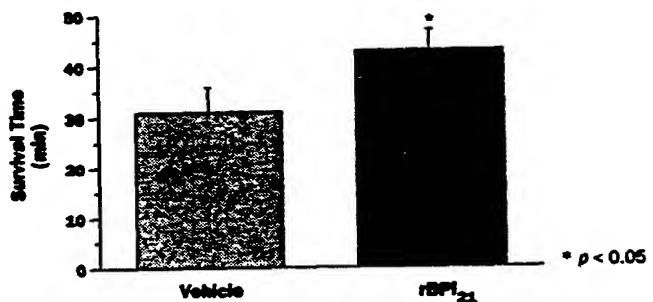
**FIGURE 6**

rBPI<sub>21</sub> Reduces Arrhythmias in Intestinal Ischemia/Reperfusion Injury



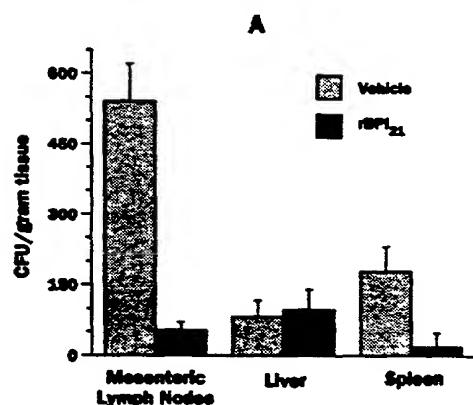
**FIG. 6**

**FIGURE 7**  
**rBPI<sub>21</sub> Treatment Increases Survival Time**

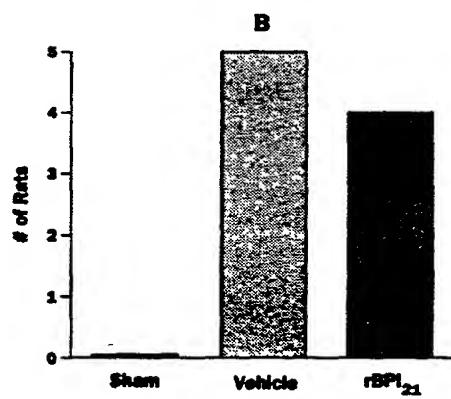


**FIG. 7**

**FIGURE 8**  
**rBPI<sub>21</sub> Reduces Translocation of Bacteria Resulting from Intestinal Ischemia/Reperfusion**



**FIG. 8A**



**FIG. 8B**

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "METHOD OF TREATING CONDITIONS ASSOCIATED WITH INTESTINAL ISCHEMIA/REPERFUSION," the specification of which (check one):  is attached hereto;  was filed on April 22, 1994 as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

## Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>	<input type="checkbox"/>	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status-Patented, Pending or Abandoned)
--------------------------	---------------	---

(Application Serial No.)	(Filing Date)	(Status-Patented, Pending or Abandoned)
--------------------------	---------------	---

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

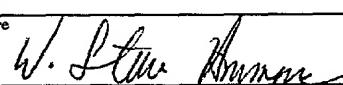
Basil P. Mann (18,464)  
 Alvin D. Shulman (19,412)  
 Donald J. Brott (19,490)  
 Owen J. Murray (22,111)  
 Allen H. Gerstein (22,218)  
 Nate F. Scarpell (22,320)  
 Edward M. O'Toole (22,477)  
 Michael F. Borun (25,447)

Timothy J. Vezeau (26,348)  
 Carl E. Moore, Jr. (26,487)  
 Richard H. Anderson (26,526)  
 James P. Zeller (28,491)  
 Lewis S. Gruber (30,060)  
 William E. McCracken (30,195)  
 Richard A. Schnurr (30,890)

Anthony Nimmo (30,920)  
 Christine A. Dudzik (31,245)  
 Kevin D. Hogg (31,839)  
 Jeffrey S. Sharp (31,879)  
 Martin J. Hirsch (32,237)  
 Richard M. La Barge (32,254)  
 Jeffry W. Smith (33,455)  
 Douglass C. Hochstetler (33,710)

Send correspondence to: Jeffrey S. Sharp

FIRM NAME	PHONE NO.	STREET	CITY & STATE	ZIP CODE
Marshall, O'Toole, Gerstein, Murray & Borun	312-474-6300	6300 Sears Tower 233 South Wacker Drive	Chicago, Illinois	60606-6402

Full Name of First or Sole Inventor  William Steve Ammons	Citizenship  U.S.A.
Residence Address - Street  490 Dohrmann Lane	Post Office Address - Street  490 Dohrmann Lane
City (Zip)  Pinole (94564)	City (Zip)  Pinole (94564)
State or Country  California	State or Country  California
Date  <input checked="" type="checkbox"/> 5/24/94	Signature  

See second page for additional inventor(s)

See reverse for relevant rules & statutes

Second Joint Inventor, if any Károly M. Mészáros	Citizenship Hungary
Residence Address - Street 2938 Morgan Drive	Post Office Address - Street 2938 Morgan Drive
City (Zip) San Ramon (94583)	City (Zip) San Ramon (94583)
State or Country California	State or Country California
Date <input checked="" type="checkbox"/> 5/23/94	Signature <input checked="" type="checkbox"/> <i>(Signature)</i>

Third Joint Inventor, if any	Citizenship
Residence Address - Street	Post Office Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Fourth Joint Inventor, if any	Citizenship
Residence Address - Street	Post Office Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Fifth Joint Inventor, if any	Citizenship
Residence Address - Street	Post Office Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

Sixth Joint Inventor, if any	Citizenship
Residence Address - Street	Post Office Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

## APPLICABLE RULES AND STATUTES

### 37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

### 35 U.S.C. 102. CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless —

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

### 35 U.S.C. 103. CONDITIONS FOR PATENTABILITY: NON-OBVIOUS SUBJECT MATTER

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negative by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

### 35 U.S.C. 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.